



**ACCREDITATION RULE 44**  
Issue Date: 2011/09/29  
Implementation Date: 2011/09/29  
New

**SUBJECT:** Accreditation Program for ISO 50001 Energy Management Systems (EnMS)

**APPLIES TO:** ISO 50001 EnMS ANAB-Accredited and Applicant Certification Bodies

**PREFACE**

This Accreditation Rule is to inform certification bodies (CBs) of ANAB requirements for accreditation to certify organizations for EnMS conforming with ISO 50001.

Note: For this program, CBs are encouraged to use as audit team members ISO 50001 auditors certified by RABQSA International ([www.rabqsa.org](http://www.rabqsa.org)), the ANSI-accredited personnel certifier. CBs offering certification for ISO 50001+Superior Energy Performance (SEP) ([www.superiorenergyperformance.net](http://www.superiorenergyperformance.net)) certification are required to use ISO 50001 certified auditors.

**ACCREDITATION RULE**

1. Requirement Documents (current versions)
  - 1.1. ISO 50001, Energy Management Systems – Requirements with guidance for use
  - 1.2. MSE 50028, Superior Energy Performance – Requirements for verification bodies for use in accreditation or other forms of recognition, Annex A, Section A.4
  - 1.3. ISO/IEC 17021, Conformity assessment – Requirements for bodies providing audit and accreditation of management systems
  - 1.4. MA 6000, ANAB Accreditation Manual, and applicable ANAB Accreditation Rules
  - 1.5. IAF Mandatory Documents as applicable
2. Application Process
  - 2.1. EnMS applicant CBs can obtain an application for informational use at [www.anab.org](http://www.anab.org).
  - 2.2. The application process outlined at <http://www.anab.org/certification-bodies/become-a-certification-body.aspx> must be completed via ANAB's Enterprise Quality Manager (EQM) database when the CB is ready to apply for EnMS accreditation.
  - 2.3. The application fee includes the cost of one assessor day for the off-site documentation review.
3. Initial Assessment and Accreditation
  - 3.1. An ANAB accreditation assessor shall conduct a full documentation review.
  - 3.2. After the documents are found acceptable, ANAB shall conduct an office assessment and required witnessed audits. If the CB is already accredited by ANAB for ANAB's ISO 50001+SEP program, the initial office assessment and initial witnessed audit are not required.
    - 3.2.1. The office assessment normally shall be conducted on site and is conducted to ensure the CB's certification process for EnMS conforms with ISO/IEC 17021 for ISO 50001.
    - 3.2.2. ANAB shall witness the CB conducting a two-stage audit process (stages 1 and 2).

3.2.2.1. The stage 2 ISO 50001 audit shall be conducted by a team of at least two auditors of the CB.

3.2.2.2. The ANAB assessment team shall have the same number of members as the CB audit team.

3.2.2.3. Because of the level of expertise needed during ISO 50001 assessments, ANAB may use a technical expert, in which case ANAB will invoice the CB for the cost (fees and expenses) of the technical expert.

#### 4. Ongoing Surveillance

4.1. ANAB shall conduct an annual office assessment and annually witness a CB team conducting an ISO 50001 audit.

4.1.1. The office assessment shall be conducted concurrently with other ANAB accreditation programs for which the CB is accredited.

4.1.2. Annual witnessed audits may be surveillance audits; however, one annual witnessed audit in the four-year accreditation cycle shall evaluate the CB's recertification process and one annual witnessed audit in the accreditation cycle shall evaluate the CB's initial certification audit (stages 1 and 2) process. One of the annual witnessed audits (including the stages 1 and 2 certification audits) during the accreditation cycle may be an ISO 50001+SEP audit.

4.1.2.1. Because of the level of expertise needed, ANAB may use a technical expert during ISO 50001 assessments. ANAB will invoice the CB for the cost (fees and expenses) of the technical expert.

#### 5. Re-accreditation

5.1. The ISO 50001 accreditation period initially shall be four years *or* shall be established to coincide with the CB's current accreditation period (if any), and thereafter shall be four years.

5.2. ANAB shall conduct a document review, on-site office assessment, and witnessed audits.

#### 6. Scope of Accreditation

6.1. There are seven scopes of accreditation, including the six categories referred to in MSE 50028 plus a category encompassing all other activities. They are:

6.1.1. Commercial Buildings

6.1.2. Light to Medium Industry

6.1.3. Heavy Industrial

6.1.4. Transportation

6.1.5. Building Complex Energy Use

6.1.6. Energy Supply

6.1.7. Other

6.2. Expanding the scope of accreditation

6.2.1. The CB is to provide a completed scope application (via EQM) that includes evidence demonstrating an appropriate level of competence for the desired scope(s).

6.2.1.1. The CB is not allowed to apply for an additional scope until any open ANAB nonconformances with regard to competence are closed.

6.2.2. A competent accreditation assessor or technical reviewer will review the application and documentation, including the CB's competencies and processes for the specific scope(s) and prepare a written response on the CB's conformance, which shall result in one of the following recommendations:

- a. Witness a CB audit prior to further review of the application and the conditions of the witnessed audit.
  - b. Grant the scope.
  - c. Not grant the scope.
- 7. Expected Outcomes for Accredited Certification to ISO 50001
  - 7.1. An organization with a certified ISO 50001 EnMS is expected to demonstrate improved energy performance through the use of its EnMS.
  - 7.2. The CB shall have processes to ensure that its auditors and other personnel for certification are knowledgeable of the expected outcomes and consistently reinforce this with its clients.