



## **ACCREDITATION RULE 1**

Issue Date: 2008/12/01

Implementation Date: 2008/12/01

Supersedes (with Accreditation Rule 31,  
2008/12/01): Accreditation Rule 1, 2007/12/18

**SUBJECT:** Application of IAF MD 3:2008 for Advanced Surveillance and Recertification Procedures (ASRP)

**APPLIES TO:** ANAB-Accredited and Applicant Certification Bodies

### **PREFACE**

The International Accreditation Forum (IAF) has issued IAF MD 3:2008 for ASRP and ANAB supports certification bodies (CBs) that wish to apply these advanced concepts.

### **ACCREDITATION RULE**

#### **1. Reference Documents (Current Versions)**

- 1.1. ISO/IEC 17021, *Conformity assessment – Requirements for bodies providing audit and certification of management systems*
- 1.2. ISO 19011, *Guidelines for quality and/or environmental management systems auditing*

#### **2. Prerequisites**

- 2.1. An applicant CB must have already been judged conformant to ISO/IEC 17021.
- 2.2. An applicant CB must already be accredited by ANAB.

#### **3. Approval Process**

- 3.1. Because the methodology and application of ASRP will vary for each CB for each client, depending on the level of advancement of the CB and their client, initially each application shall be reviewed and approved by an accreditation committee of the ANAB Accreditation Council prior to first use.
- 3.2. The CB shall document its proposed ASRP audit program for each client on a case-by-case basis consistent with the requirements of IAF MD 3.
- 3.3. The CB shall document how the ASRP audit program varies from the existing audit program because of the application of ASRP to the respective client.
- 3.4. The proposal for using ASRP shall be reviewed and accepted by the CB's ANAB Executive Assessment Team Leader (EATL) prior to submission to an accreditation committee of the ANAB Accreditation Council.
- 3.5. The CB and its client shall make a presentation to the appointed accreditation committee explaining the proposed program and answering any questions at a face-to-face meeting or by electronic means (for example, WebEx or teleconference) as agreed with ANAB staff.
- 3.6. Immediately following the presentation, the CB and its client will be dismissed, and the accreditation committee shall make its decision, which may or may not include conditions, to accept or reject the ASRP program for that CB's client.
- 3.7. The decision and any conditions imposed by the accreditation committee shall be promptly communicated by ANAB staff to the CB.

#### **4. Maturity of CB Using ASRP**

After at least three successful submissions to an accreditation committee the CB may make a request to ANAB staff that all future submissions for the application of ASRP be expedited by only being needed to be submitted to the CB's EATL and staff for approval. ANAB staff will discuss with and obtain the approval of the Accreditation Council to proceed or not with the expedited process.

#### **5. Industry Sector Programs**

The ASRP process shall not be used for any industry sector program unless the industry group has specifically stated it may be used for its program.