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| BASE STANDARD PROGRAM | |  |
| ISO/IEC 27001 INFORMATION SECURITY MANAGEMENT SYSTEMS (ISMS) CB APPLICATION FOR ACCREDITATION | | |
| AUTHORITY: ACCREDITATION MANAGER | EFFECTIVE DATE: 22 January 2010 | DOCUMENT NUMBER: FA 2015.06 |

SECTION 1 – CB’s Name, Contact Information and Processing Fees

| | | |
|---|----------------|-------------|
| CB’s Name | | |
| Address | | |
| City | State/Province | Postal Code |
| Country | | |
| Name of individual completing the application: | | E-mail: |
| ISMS Application Fee | \$5,000.00 | |
| <i>Fees are payable when purchasing the application.</i> | | |

1. The application, along with all supporting documents, shall be submitted in English.
2. For instructions on the application process, see ANAB’s web site at www.anab.org and select Applications.
3. This application shall be obtained through ANAB’s database, Enterprise Quality Manager (EQM), <http://anab.remoteauditor.com> for electronic completion (including all supporting evidence) and submitted to ANAB via EQM.
 - a. Instructions on how to obtain and upload the application in EQM can be found on ANAB’s Web site at www.anab.org, select Heads Up, Heads Up 72, EQM CB reference material.
4. If the completed application is not accepted by ANAB after three reviews, the application will be declined.
 - a. After 60-days the CB may re-apply (including application fees).
5. For details on the ISO/IEC 27001 ANAB accreditation program, refer to Accreditation Rule 21 at www.anab.org and select Accreditation Rules.

SECTION 2 – ISO/IEC 17021 CB Requirements for CB’s not already ISO/IEC 17021 accredited by ANAB

Provide evidence that the CB’s certification system has been modified to include the requirements of ISO/IEC 17021.

Not applicable – Check box if the CB is already an applicant or accredited with ANAB for another program and then proceed to Section 3.

| | REFERENCE | TO BE COMPLETED BY CB | TO BE COMPLETED BY ANAB |
|----|---|-------------------------|---|
| | Reference to supporting document(s) (Please include specific section references) Comment/Response | | |
| 1. | Execute (signed) ANAB CB Applicant Agreement.* <ul style="list-style-type: none"> An electronic signature is acceptable; however, a typed name is not. If the signature is handwritten, please scan the signed document and attach to this application electronically. <i>*May be found in EQM, under Reference documents.</i> | Initial Response | Approved? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| 2. | Completed ISO/IEC 17021 Requirement Matrix.* <i>*Mmay be found in EQM, under Reference documents.</i> | Initial Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> <i>ANAB specific comments will be included on the completed ISO/IEC 17021 Requirement Matrix.</i> |
| | | Second Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| 3. | Completed impartiality analysis of relationships to other parts of the company and to other organizations and/or individuals. Requirement: ANAB Accreditation Rule 10 | Initial Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |

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| | | Final Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| 4. | Evidence that the impartiality analysis (referenced above) was reviewed by the committee responsible for impartiality. Requirement: ISO/IEC 17021, Section 6.2 | Initial Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| 5. | Provide the names of the members on the committee established to safeguard impartiality including the key interest group each one represents (it is the CB's responsibility to notify ANAB of any changes) (it is the CB's responsibility to notify ANAB of any changes) Requirement: ISO/IEC 17021, Section 6.2 | Initial Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| 6. | A current list of organizations to which the CB outsources work associated with management system certification. Requirement: ISO/IEC 17021, Section 7.5 | Initial Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| 7. | A copy of enforceable arrangements with each organization. Requirement: ISO/IEC 17021, Section 7.5 | Initial Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |

SECTION 3 – ISMS CB Requirements

Provide evidence that the CB's certification system includes the requirements of ISO/IEC 27001 for ISMS certification, including the requirements in ISO/IEC 27006.

| | REQUIREMENT | TO BE COMPLETED BY CB | TO BE COMPLETED BY ANAB | | |
|-----------------------|---|-------------------------|--|-------------------------|--|
| | <p>Reference to Comment/Response supporting document(s) (Please include specific section references)</p> | Comment/Response | | | |
| 1. | <p>Provide the revised ISO/IEC 17021 Requirement Matrix including highlighted document references that have been revised to address this program.</p> <p>Also, include a copy of the documents that were revised.</p> <p>NOTE: IF THIS WAS PROVIDED IN SECTION 2 ABOVE, DISREGARD THIS ITEM.</p> <p>Requirement: ANAB Accreditation Rule 21</p> | Initial Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> | | |
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| | | Second Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> | | |
| | | | | | |
| | | Final Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> | | |
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| | | 2. | <p>Identify the CB personnel having competence for managing the program for ISO/IEC 27001:2005 ISMS certification.</p> <p>Requirement: ISO/IEC 17021, Section 7.2.1 and ISO/IEC 27006, Sections 7.1.1 and 7.2.1</p> | Initial Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
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| | | | | Second Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
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| Final Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> | | | | |
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| 3. | <p>Provide a list of ISMS assessors the CB is planning on using, including evidence of competence.</p> <p>Requirement: ISO/IEC 17021, Section 7.2.2 and 7.2.7 and ISO/IEC 27006, Sections 7.1.1 and 7.2.1</p> | | | Initial Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | | | | |
| | | | | Second Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
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| | | Final Response | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> | | |
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| | | 4. | Provide information on the group or person which | Initial Response | |

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| | <p>will take the decision on certification for ISO/IEC 27001:2005 ISMS, including evidence of competence.</p> <p>Requirement: ISO/IEC 17021, Sections 7.2.9 and ISO/IEC 27006, Section 7.2.1.2</p> | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | |
| | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | |
| 5. | <p>Provide information on the CB's requirements for ISO/IEC 27001:2005 ISMS certification.</p> <p>Requirement: ISO/IEC 17021, Section 8.6.1 and ISO/IEC 27006, Sections 8 and 9</p> | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | |
| | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | |
| 6. | <p>Provide a copy of the CB's application form for ISO/IEC 27001:2005 ISMS.</p> <p>Requirement: ISO/IEC 17021, Section 9.2.1 and ISO/IEC 27006, Section 9.2</p> | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | |
| | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | |
| 7. | <p>Provide information about the CB's process for application review, including determination of competences needed, and appointment of the assessment team members and individuals to make the ISO/IEC 27001:2005 ISMS certification decision.</p> <p>Requirement: ISO/IEC 17021, Section 9.2.2 and ISO/IEC 27006, Sections 9.1 and 9.2</p> | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | |
| | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | |
| 8. | <p>Provide information about the CB's process for determining audit days for ISO/IEC 27001:2005 ISMS certification audits.</p> <p>Requirement: ISO/IEC 17021, Section 9.1.4 and ISO/IEC 27006, Section 9.1.3</p> | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | |
| | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |

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| | | Final Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| 9. | Provide information about the CB's process for determining the sampling plan for multiple sites for ISO/IEC 27001:2005 ISMS certification audits. Requirement: ISO/IEC 17021, Section 9.1.5 and ISO/IEC 27006, Section 9.1.4 | Initial Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| 10. | Provide a copy of the ISO/IEC 27001:2005 ISMS certification documents. Requirement: ISO/IEC 17021, Section 8.2.3 and ISO/IEC 27006, Section 8.2.1 | Initial Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Second Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Final Response | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | | | Approved? Yes <input type="checkbox"/> No <input type="checkbox"/> |

SECTION 4 – CB Management Endorsement

Steps to submission:

1. Verify all questions are complete.
2. Verify all required information (attachments) is included in a zipped file.
3. Upload the application into EQM, <http://anab.remoteauditor.com>
 - 3.1. Instructions on how to upload the application and supporting evidence in EQM can be found on ANAB's Web site at www.anab.org, select Heads Up, Heads Up 72, EQM CB reference material.
4. The initial document review (off-site) will take approximately two weeks.
5. The CB will be notified once the review is complete.

CB Management that completed the application and determined the information to meet all applicable requirements.

Name _____
 Title _____
 Date _____