

Base Standard Program		
ISO 28000 Supply Chain Security Management Systems CB Application for Accreditation		
AUTHORITY: Accreditation Manager	EFFECTIVE DATE: 09 September 2011	DOCUMENT NUMBER: FA 2017.05

Section 1: CB Name, Contact Information, and Processing Fees

CB name:

Street address:

City:

State/province:

Postal code:

Country:

Name of person completing application:

E-mail:

SCSMS application fee: \$5,000

Fees are payable when purchasing application

Application and all supporting documents shall be submitted in English.

Instructions on application process are at www.anab.org; click on Become a Certification Body.

Application shall be obtained through ANAB's Enterprise Quality Manager (EQM) database at <http://anab.remoteauditor.com> and completed electronically (including submission of all supporting evidence) and submitted to ANAB via EQM. Instructions on how to obtain and upload the application in EQM can be found at www.anab.org; under Documents, select Heads Up and click on issue 72.

If the completed application is not accepted by ANAB after three reviews, the application will be declined. The CB may re-apply (including payment of application fees) after 60 days.

For details on this ANAB accreditation program, refer to [ANAB Accreditation Rule 24](#) (at www.anab.org, under Documents, select Accreditation Rules).

Section 2: ISO/IEC 17021 Requirements for CBs Not ISO/IEC 17021 Accredited by ANAB

Provide evidence that the CB's certification system includes the requirements of ISO/IEC 17021.

___ Not applicable – mark X at left if CB is already an applicant or accredited by ANAB for another program and proceed to Section 3.

Requirement	To Be Completed by CB		To Be Completed by ANAB
	Reference to Supporting Document(s) Including Specific Section References	Comment/Response	Comment/Response
1. Execute (signed) ANAB CB Applicant Agreement , which can be found in EQM under Reference Documents. An electronic signature is acceptable but a typed name is not. If the signature is handwritten, scan the signed document and attach to application electronically.	Initial Response		Approved?
			Approved?
	Second Response		Approved?
			Approved?
2. Completed ISO/IEC 17021:2011 Requirement Matrix , which can be obtained through the EQM application process. Requirement: ANAB Accreditation Rule 41	Initial Response		Approved? ANAB-specific comments will be included on completed ISO/IEC 17021 Requirement Matrix
			Approved?
	Second Response		Approved?
			Approved?
3. Completed impartiality analysis of relationships to other parts of the company and to other organizations and/or individuals. Requirement: ANAB Accreditation Rule 10	Initial Response		Approved?
			Approved?
	Second Response		Approved?
			Approved?
4. Evidence that the impartiality analysis (referenced in 3, above) was reviewed by the committee responsible for impartiality. Requirement: ISO/IEC 17021, 6.2	Initial Response		Approved?
			Approved?
	Second Response		Approved?
			Approved?
5. Provide names of members on committee established to safeguard impartiality, including key interest group each person represents. It is the CB's responsibility to notify ANAB of any changes. Requirement: ISO/IEC 17021, 6.2	Initial Response		Approved?
			Approved?
	Second Response		Approved?
			Approved?

6. Current list of organizations to which the CB outsources work associated with management systems certification. Requirement: ISO/IEC 17021, 7.5	Initial Response	
		Approved?
	Second Response	
		Approved?
7. Copy of enforceable arrangements with each organization. Requirement: ISO/IEC 17021, 7.5	Initial Response	
		Approved?
	Second Response	
		Approved?
	Final Response	
	Approved?	

Section 3: SCSMS CB Requirements

Provide evidence that the CB's certification system includes the requirements of ISO 28000 and ISO 28003 for SCSMS certification.

Requirement	To Be Completed by CB		To Be Completed by ANAB
	Reference to Supporting Document(s) Including Specific Section References	Comment/Response	Comment/Response
1. Provide revised ISO/IEC 17021 Requirement Matrix , with document references revised to address this program highlighted. (The 2006 version can be found in EQM under Reference Documents. The 2011 version must be obtained through the EQM application process.) Also include copy of documents that were revised. Note: Disregard if provided in Section 2 above. Requirement: ANAB Accreditation Rule 24	Initial Response		
			Approved?
	Second Response		
			Approved?
2. Evidence that certification agreement clearly defines standard or other normative documents to which certification takes place, defines intended scope of certification, and requires client to conform to requirements for certification. Requirement: ISO/IEC 17021, Section 5.1.2 , and ISO 28003, 5.1.2 and 9.2.2.5	Initial Response		
			Approved?
	Second Response		
			Approved?
3. Completed impartiality analysis of relationships to other parts of company and other organizations and/or individuals that provide management systems consultancy or risk assessments associated with supply chain security. Requirement: ANAB Accreditation Rule 10 and	Initial Response		
			Approved?
	Second Response		
			Approved?
	Final Response		
			Approved?

<p>ISO/IEC 17021, 5.2.2, and ISO 28003, 3.3, 5.2.2, 5.2.5, 5.2.7, and 5.2.10</p>			
<p>4. Identify CB personnel having competence for managing program for ISO 28000 SCSMS certification. Requirement: ISO/IEC 17021, 7.2.1, and ISO/IEC 28003, 7.2.1</p>	Initial Response		Approved?
	Second Response		Approved?
	Final Response		Approved?
			Approved?
<p>5. Provide list of SCSMS auditors CB plans to use, including evidence of training and competence. Requirement: ISO/IEC 17021, 7.2.2-7.2.8, and ISO 28003, 7.2.3, 7.2.3.1, 7.2.3.2, 7.2.3.4, 7.2.3.6, and 7.2.8-7.2.10, and Annexes C and D</p>	Initial Response		Approved?
	Second Response		Approved?
	Final Response		Approved?
			Approved?
<p>6. Provide evidence of CB's process for continual training and qualification. Requirement: ISO 28003, 7.2.3.3 and 7.2.3.5</p>	Initial Response		Approved?
	Second Response		Approved?
	Final Response		Approved?
			Approved?
<p>7. Provide information about person or group that will make decisions on certification for ISO 28000 SCSMS, including evidence of competence. Requirement: ISO/IEC 17021, 7.2.9, and ISO 28003, Section 7.2.14</p>	Initial Response		Approved?
	Second Response		Approved?
	Final Response		Approved?
			Approved?
<p>8. Provide evidence of CB's process for security clearance of auditors, including external auditors and experts. Requirement: ISO 28003, 7.2.2.7.3 and 7.4.1-7.4.7</p>	Initial Response		Approved?
	Second Response		Approved?
	Final Response		Approved?
			Approved?
<p>9. Provide copy of ISO 28000 SCSMS certification documents. Requirement: ISO/IEC 17021, 8.2.3, and ISO 28003, Section 8.2.3</p>	Initial Response		Approved?
	Second Response		Approved?
	Final Response		Approved?
			Approved?
<p>10. Provide information on CB's requirements for ISO 28000 SCSMS certification. Requirement: ISO/IEC 17021, 8.6.1, and ISO 28003, 8.6.1</p>	Initial Response		Approved?
	Second Response		Approved?
	Final Response		Approved?
			Approved?

		Approved?
11. Provide information on CB's procedures to ensure secure exchange of information. Requirement: ISO 28003, 8.6.4	Initial Response	
		Approved?
	Second Response	
		Approved?
12. Provide evidence of CB's process to ensure that each team member informs CB prior to accepting an audit assignment of any known or envisioned link to a specific client. Requirement: ISO 28003, 9.1.4	Initial Response	
		Approved?
	Second Response	
		Approved?
13. Provide evidence that audit duration is based on guidance. Requirement: ISO 28003, 9.1.6, 9.3.2.4, and 9.4.2.6 and Annex A	Initial Response	
		Approved?
	Second Response	
		Approved?
14. Provide evidence that all sites are audited. Requirement: ISO 28003, 9.1.6 and 9.1.7 and Annex B	Initial Response	
		Approved?
	Second Response	
		Approved?
15. Provide copy of CB's application form for ISO 28000 SCSMS. Requirement: ISO/IEC 17021, 9.2.1, and ISO 28003, 9.2.1	Initial Response	
		Approved?
	Second Response	
		Approved?
16. Provide process for application review, including determination of competencies needed, and appointment of audit team members and individuals to make ISO 28000 SCSMS certification decisions. Requirement: ISO/IEC 17021, 9.2.2 and 9.2.2.1-9.2.2.4, and ISO 28003, 9.2.2, 9.2.2.1, 9.2.2.2, and 9.2.2.7-9.2.2.11	Initial Response	
		Approved?
	Second Response	
		Approved?
17. Provide information about how CB manages sensitive documents and records.	Initial Response	
	Second Response	Approved?

Requirement: ISO 28003, 10.2.3		Approved?
	Final Response	
		Approved?

Section 4: CB Management Endorsement

Submission steps:

1. Verify responses to all questions are complete and all required information (attachments) is included.
2. Upload application to EQM (<http://anab.remoteauditor.com>). Instructions on how to upload the application and supporting evidence to EQM can be found at www.anab.org; under Documents, select Heads Up and click on issue 72.
3. The initial (off site) document review takes approximately two weeks.
4. The CB will be notified when the review is complete.

CB management who completed the application and determined that the information meets all applicable requirements:

Name:

Title:

Date: